

Application Form - UK Stewardship Code 2020

Please read the information about applying to the Code on the FRC's website before proceeding.

The form will take about ten minutes to complete. After completing the form an email with a link to submit your Stewardship Report will be sent to the address you provide. Ensure that the contact details you provide are accurate as they will be used to process your application.

The FRC may process applicants' personal information in the legitimate interests of considering applications, maintaining the list of signatories and communicating with applicants and signatories. The FRC will process personal data in accordance with its Regulatory Privacy Notice.

FRC Regulatory Privacy Notice - <https://www.frc.org.uk/document-library/frc/gandl/glt-2021/regulatory-privacy-notice-february-2021>

Contact us at stewardshipcode@frc.org.uk if you have questions.

* Required

Organisation information

Enter information for the entity that is applying. If your application is successful, this is the entity that will be named in the FRC's list of signatories of the Code.

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Name of organisation *

Include the legal name of the entity that is applying. This will be listed on the FRC's website if your application is successful.

*Do not include special characters (such as @, #, \$, %, &, /, * and +) in your response.*

Enter your answer

2

Address line 1 *

Enter your answer

3

Address line 2

Enter your answer

4

Town

Enter your answer

5

County

Enter your answer

6

Postcode

Enter your answer

7

Country *

Enter your answer

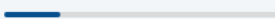
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Website *

Enter your answer

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Organisation information

Enter information for the entity that is applying. If your application is successful, this is the entity that will be named in the FRC's list of signatories of the Code.

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Which one of the following best describes your organisation? *

- Asset Owner (for example pension fund, insurer, endowment, foundation, local government pension pool, master trust, sovereign wealth fund)
- Asset Manager (including fund of funds, multi-managers and fiduciary managers)
- Service Provider (for example investment consultant, proxy advisors, data and research provider)

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Reporting year-end date *

Applicants are required to submit a Stewardship Report covering any 12-month period beginning after 1 January 2020. Provide the year-end date for your reporting period. For example, the reporting year 1 January 2020 to 31 December 2020 would be 31/12/2020.

Please input date in format of dd/MM/yyyy



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Assets under management (GBP bn) *

Provide assets under management for the entity that is applying as at the reporting year-end date in GBP billion.

The value must be a number

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Contact details

Stewardship Code applicants must provide a named contact for their Stewardship Code application. Ensure the details are correct as these will be used to process your application.

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Title

Select your answer



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First name *

Enter your answer

14

Last name *

Enter your answer

15

Job title *

Enter your answer

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Email address *

The link to submit your Stewardship Report will be sent to this email address. It will also be used to contact you about your application.

Enter your answer

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Telephone *

Please include the country code.

Enter your answer

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Research participation

The FRC has commissioned research to better understand the stewardship practices of asset managers and asset owners. The research will be carried out by an external party who will anonymise findings and are independent of the FRC. We are seeking participants among applicants to the Stewardship Code. Your decision to participate in the research will not influence the outcome of your application.

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I am willing to be contacted by the independent researcher. I understand this does not commit me or my organisation to participating. *

- Yes
- No

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SAMPLE

Confirm and submit application form

Please confirm the information below to submit your application form.

UK Stewardship Code 2020 Terms and Conditions - <https://www.frc.org.uk/getattachment/Investors/UK-Stewardship-Code/Stewardship-Code-Terms-and-Conditions-March-2021.pdf>

FRC Regulatory Privacy Notice - <https://www.frc.org.uk/document-library/frc/gandl/glt-2021/regulatory-privacy-notice-february-2021>

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I confirm that I am authorised, on behalf of the organisation, to submit this application.

I confirm that the report I submit has been reviewed and approved by the organisation's governing body, and signed by the chair, chief executive or chief investment officer, or equivalent.

I confirm that I have read the UK Stewardship Code 2020 Terms and Conditions and the FRC Regulatory Privacy Notice and agree to these terms. *

I agree

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Security question: How many bicycles are in the picture? *

The number must equal 2

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Submit

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